



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Noboru NODA

Title:

SEMICONDUCTOR DEVICE AND METHOD OF

MANUFACTURING THE SAME

Appl. No.:

10/813,446

Filing Date: 03/31/2004

Examiner:

S. Crane

Art Unit:

2811

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

In a counterpart Japanese application, a Japanese Office Action issued on May 6, 2005. According to an English translation obtained by Applicants' representative of the Japanese Office Action, the Japanese Patent Office made certain characterizations of the references. A copy of the English translation of the Japanese Office Action is attached.

The disclosure of these characterizations should not be construed as an admission of or agreement to the opinions expressed in the Japanese Office Action.

Document A4 discloses the formation of pin holes of a semiconductor device and the manufacture of the semiconductor device.

Document A6 discloses the manufacture of a semiconductor device.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted

Date July 6, 2005

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute fo	or form 1449B	/PTO	Complete if Known			
	INFORMATI	ON DISCLO	SURE	Application Number	10/813,446		
	STATEMEN	T BY APPLI	CANT	Filing Date	03/31/2004		
	Date Subm	itted: July 6,	2005	First Named Inventor	Noboru NODA		
	Date Subili	itteu. July 0,	2003	Group Art Unit	2811		
	(use as many :	sheets as ne	cessary)	Examiner Name	Unassigned III U	-	
Sheet	1	of	1	Attorney Docket Number	016887-1104		
	-				W. market		

U.S. PATENT DOCUMENTS						
	-	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	0.1	Foreign Patent Document				Date of Publication of Cited Document	Pages, Columns, Lines, Where	
	Cite No. ¹	Offic	Name of Patentee or		Applicant of Cited Documents	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear	T6
	A1	JP	03-022440	Α	NEC CORP.	01-30-1991		Abst.
	A2	JP	2001-068479	Α	MATSUSHITA ELECTRIC IND. CO., LTD.	03-16-2001		Abst.
	А3	JP	2002-270819	Α	ALPS ELECTRIC CO., LTD.	09-20-2002		Abst.
	A4	JP	61-042138	A	HITACHI LTD., ET AL.	02-28-1986		Abst.
	A5	JP	63-193562	Ā	TOSHIBA CORP.	08-10-1988		Abst.
	A6	JР	60-235464	Α	NEC CORP., ET AL.	11-22-1985		Abst.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	I A I ITAM (BOOK MAGAZINA IQUITNA) CARIAL CUMBOCIUM CATALOG ATC) data Maga(c) Volumo iccuo humbor(o)				
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Examiner Date Signature Considered			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.